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SECRETARY OF THE SENATE
PUBLIC RECORDS
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SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING

Purpose of Amendment (describe the reason for amending original submission): The certification form and trip agenda included in the original Post-Travel Submission did not include a change made and approved by Senate Ethics ahead of the trip. The invitation letter included in the original Post-Travel submission had the wrong date; the correct invitation letter was included in the pre-travel submission.

Chin Coen
(Signature of Traveler)

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): International Conservation Caucus Foundation (ICCF)
2. Description of the trip: Familiarize and educate the delegation about the impact of U.S. investment in international conservation and its impact on poverty alleviation and economic development
3. Dates of travel: August 15-18, 2019
4. Place of travel: Nairobi, Kenya; Naboisho and Mara North Conservancies, Kenya
5. Name and title of Senate invitees: Senator Chris Coons, Senator Chris Van Hollen
6. I *certify* that the trip fits one of the following categories:
☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
~~OR~~
☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
~~AND~~
☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
~~AND~~
☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

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☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

OR

NOR

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

ICCF has hosted many congressional educational trips including attendees from the House and Senate to Brazil, Kenya, Tanzania, South Africa, Botswana, Mozambique, the Galapagos, Costa Rica, etc.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

ICCF organizes educational briefings for Members and Staff as well as roundtable discussions, workshops, and forums on Capitol Hill.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate	\$925*	\$815*	\$250	\$477*
<input type="checkbox"/> Actual Amounts	*see addendum for cost breakdown	*see addendum for cost breakdown		*see addendum for cost breakdown

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

Specifically in regard to Congressional participation.

18. Reason for selecting the location of the event or trip

Maasai Mara is a prime example of the community conservancy model, in which the U.S. invests through USAID.

19. Name and location of hotel or other lodging facility:

Fairmont Hotel, Nairobi: Harry Thuku Road, Nairobi, 00200, Kenya

Naboisho Camp: Naboisho Conservancy, Maasai Mara National Reserve, Kenya

20. Reason(s) for selecting hotel or other lodging facility:

Safety, proximity to conservation sites, and value.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Lodging and meal expenses are within per diem rates.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

See addendum.

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None.

25. I hereby *certify* that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):

Signature of Travel Sponsor:

Name and Title: John Gantt, ICCF President

Name of Organization: International Conservation Caucus Foundation (ICCF)

Address: 1200 Potomac St. NW, Washington, DC 20027

Telephone Number: 202.471.4222

Fax Number:

E-mail Address: johngantt@iccfoundation.us, cweis@iccfoundation.us

(Do not file the Instructions with OPR)

- The Senate Select Committee on Ethics (“Ethics Committee”) has developed guidelines for evaluating privately-sponsored trips and for judging whether trip expenses are reasonable. Trip sponsors should consult the *Senate Select Committee on Ethics’ Regulations and Guidelines for Privately-Sponsored Travel*, including the *Glossary of Terms*, prior to filling out the *Private Sponsor Travel Certification Form* and contact the Ethics Committee at (202) 224-2981 with any additional questions. The Ethics Committee will make the final determination as to whether the expenses incurred during a privately-sponsored trip are reasonable.
- If there are multiple sponsors, they should jointly complete one *Private Sponsor Travel Certification Form* for the trip. Each travel sponsor should complete the signature block.
- When evaluating a trip proposal and judging the reasonableness of expenses, the Ethics Committee will consider the following factors:
 - a. the stated mission of the organization sponsoring the trip;
 - b. the organization’s prior history of sponsoring congressional trips, if any;
 - c. other educational activities performed by the organization besides sponsoring congressional trips;
 - d. whether any trips previously sponsored by the organization led to an investigation by the Select Committee on Ethics;
 - e. whether the length of the trip and the itinerary is consistent with the official purpose of the trip;
 - f. whether there is an adequate connection between a trip and official duties;
 - g. the reasonableness of the total amount spent by a sponsor of the trip;
 - h. whether there is a direct and immediate relationship between a source of funding and an event;
 - i. the maximum *per diem* rates for official Federal Government travel published annually by the General Services Administration, the Department of State, and the Department of Defense;
 - j. whether travel to a location or event is arranged or organized without regard to congressional participation, or whether it is specifically organized for Congressional staff; and
 - k. any other factor deemed relevant by the Select Committee on Ethics.

- Responses to each question should be brief, consistent with the requirement to provide all relevant information. Attach additional pages, as necessary.
- To allow sufficient time for the Ethics Committee to review requests for privately sponsored travel, the participating Senate Members, officers, and employees must submit the completed form to the Ethics Committee at least **thirty (30) days** before the date of the proposed trip.

Filling out the Private Sponsor Travel Certification Form (Question by Question Instructions)

1. *Sponsor(s) of the trip (please list all sponsors):* A sponsor of a trip is any person, organization, or other entity contributing funds or in-kind support for the trip. A sponsor must have a significant role in organizing and conducting a trip and must have a specific organizational interest in the purpose of the trip. If Members, officers, and employees are participating in an event or fact-finding trip in connection with their duties, they may accept necessary travel expenses only from the event or trip sponsor.
2. *Description of the trip:* Provide a brief statement about the purpose of the trip.
3. *Dates of travel:* Provide the dates of departure and return.
4. *Place of travel:* Provide the destination(s) for the trip.
5. *Name and titles of Senate invitees:* Provide the name and title for each Senate Member, officer, or employee who is invited on the trip.
6. *I certify that the trip fits one of the following categories:* A Senate Member, officer, or employee may accept privately sponsored travel only from sponsor(s) of a trip that fits one of the categories listed. Consult the instructions for question 9 to determine if the trip meets the lobbyist accompaniment standard.
7. *Financing of the trip, earmarked funds and in-kind contributions:* Senate Members, officers, and staff may not accept privately-sponsored travel funded by a registered lobbyist or foreign agent. Members, officers, and staff may not participate in privately-sponsored travel when the sponsors accept funds or in-kind contributions earmarked for this particular trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal. Earmarking includes any direction, agreement, or suggestion -- formal or informal -- to use donated funds, goods, services, or other in-kind contributions for a particular trip or purpose.
8. *Lobbyist/agent of a foreign principal involvement:* Senate invitees may not participate in trips planned, organized, arranged, or requested by a lobbyist or foreign agent in more than a *de minimis* way, which means negligible or inconsequential. It would be considered inconsequential for one or more lobbyists or foreign agents to serve on the board of an organization that is sponsoring travel, as long as the lobbyists or foreign agents are not involved in the trip. It is also permissible for a lobbyist to respond to a trip sponsor's request to identify Senate invitees with interest in a particular issue relevant to a planned trip. However, a lobbyist is not allowed to solicit or initiate communication with a trip sponsor, have control over which Senate employees are invited on a trip, extend or forward an invitation to a participant, determine the trip itinerary, or be mentioned in the invitation.

Example: A trip sponsor that is a § 501(c)(3) non-profit organization asks a lobbyist to recommend staffers who might be most interested in joining a trip to the U.S.-Mexican border. If a lobbyist knows a staffer who has a particular interest in the DEA's activities at the border, then providing that information (in light of the trip sponsor's request), in and of itself, would not exceed a *de minimis* level of participation, and would be permitted. However, it would not be permissible for the lobbyist to initiate contact with the trip sponsor to suggest that a particular Senate staffer be invited or forward an invitation to that staffer. Consult the instructions for question 9 to determine if the trip meets the lobbyist accompaniment standards.

9. *Lobbyist/agent of a foreign principal accompaniment standards:* Senate Members, officers, and staff may not accept privately-sponsored travel from an entity that retains or employs one or more federally-registered lobbyists or foreign agents unless one of the listed scenarios applies. *At any segment of the trip* means lobbyists may not accompany the Senate invitee for parts of the travel to and from the event (not at the event itself or the location being visited). *At any point throughout the trip* means lobbyists may not accompany Senate invitees at any point to and from the event, at the event itself, or at the location being visited, other than in a *de minimis* way. This is a broader prohibition than the *at any segment of a trip* standard.

“De minimis” exception: Both lobbyist/agent of a foreign principal “accompaniment” prohibitions include a *de minimis* exemption. *De minimis* means negligible or inconsequential. The mere coincidental presence of a lobbyist or foreign agent at an event would likely be considered *de minimis*. But in making the final determination, the Ethics Committee will consider the totality of the circumstances, including the amount of time lobbyists or foreign agents are present at the event; the amount of direct contact they have with Senate invitees; and the amount of control a trip sponsor has over their presence or contact with Senate guests. For example, if the trip includes attendance at an event considered widely-attended under Rule 35(1)(c)(18), the trip sponsor is unlikely to know all attendees present. Thus, it is likely to be permissible for such widely-attended events to include both a Senate guest and a lobbyist. Similarly, an organization cannot possibly know all the other passengers taking the same flight or other common carrier to a given destination. Accordingly, the sponsor does not need to certify that it knows for certain that no lobbyist or foreign agent will be on such a common carrier.

10. *If travel includes two overnight stays:* The Ethics Committee may approve two overnight stays for trips sponsored by an entity that employs or retains one or more lobbyists or foreign agents under certain conditions. Consult Committee regulations for additional information.
11. *An itinerary for the trip is attached to this form:* The Ethics Committee will not review the trip request without a detailed (hour-by-hour), complete and final itinerary for the trip. As a general matter, the Ethics Committee advises that each travel day contain a minimum of 6 hours of officially-related activities for Senate invitees.
12. *Briefly describe the role of each sponsor in organizing and conducting the trip:* A sponsor must have a significant role in organizing and conducting a trip and must have a specific organizational interest in the purpose of the trip.
13. *Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:* Provide a brief description of the stated mission of each sponsor and how it relates to the trip.
14. *Briefly describe each sponsor’s prior history of sponsoring congressional trips:* Provide a brief discussion of the sponsor’s history of sponsoring congressional travel. It is not necessary to list every trip.
15. *Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):* Provide a brief description of the educational activities performed by each sponsor. It is not necessary to list every individual activity; the description may be by kind or category of educational activity involved.
16. *Total expenses for each participant:* Indicate whether the figures provided are actual amounts or good faith estimates by checking the appropriate box. All trip expenses should be included. Expenses other than those for transportation, lodging, and meals must be individually listed and specified. Attach additional pages as necessary.

Sponsored by ICCF

Lodging: \$815.00 total (\$300 per night in the Mara. \$215 per night in Nairobi)

Transportation: \$925 (\$350 roundtrip from Uganda, \$500 roundtrip for Safarilink flight, \$75 ground transportation for the day in Nairobi.)

Other: \$477 total (\$121 conservation fee per day in Mara, \$80 per day field activities in Mara, \$75 for tips total trip.)

22. Coach flight from Nairobi into the Mara. Charter flight is being used to return to Nairobi from the Mara. A charter flight is necessary because there is no commercial flight that would allow for the completion of ICCF's programming in the field.

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Advancing U.S. leadership in international conservation
through public and private partnerships and developing
the next generation of Congressional conservation leaders

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July 26, 2019

The Honorable Chris Coons
and Michael Coons
United States Senate
Washington, DC

Dear Senator Coons and Michael,

We are pleased to invite you to join a U.S. Congressional Delegation to visit the Republic of Kenya from August 15 to August 18, 2019, inclusive of travel days.

The purpose of this mission is to educate the delegation about the critical role that conservation and sound natural resource management play in poverty alleviation, sustainable economic development, and regional security for Kenya and other developing countries of the world. The mission will focus on both terrestrial and marine conservation and the role of U.S. investment in the region.

We have extended an invitation to the following Member of Congress and son to join the delegation:

Senator Chris Van Hollen and Nicholas Van Hollen

While in Kenya, the delegation will meet with government officials and leading local and U.S.-based conservation organizations.

Through this mission you will gain a firsthand look at innovative ways that U.S. investment in the region is providing invaluable support to the region's biodiversity conservation and management efforts while strengthening the ability of local people and organizations to manage and conserve species, habitats, and ecological processes, both marine and terrestrial.

The delegation will also learn about important issues relating to Kenya and East Africa, including collaboration with nongovernmental organizations, research centers, communities, and other stakeholders, to facilitate the protection of these land- and seascapes. No portion of the course or trip is financed by a lobbyist or a registered foreign agent.

Thank you for taking the time to learn more about this remarkable part of the world and the efforts that are underway to ensure its conservation for the benefit of local communities and the world at large for this and future generations.

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Attached you will find general background information about the International Conservation Caucus Foundation and a brief working itinerary.

We look forward to having you join us.

Sincerely,

Carolyn Weis
Program Director

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ICCF

U.S. Congressional Delegation to Kenya



August 15-18, 2019

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Accommodation: Fairmont Hotel, Nairobi

Day 2, Friday, August 16th:

Topic: Meeting with the President and arrival in Maasai Mara

8:30 am-9:30 am

Breakfast briefing with Susan Lylis, Vice President ICCF.

Briefing over breakfast to introduce topics that will be discussed during the trip, including transboundary conservation, community conservancies, the African poaching crisis, etc.

10:30 am-11:30 am

Meeting with President of Kenya, H.E. Uhuru Kenyatta. (TBC)

Members of the delegation will meet with the President of the Republic of Kenya to discuss a range of topics, including sustainable development, the African poaching crisis, U.S. investment in the country, etc.

Noon

Lunch and pack

1:00 pm

Head to Wilson Airport in Nairobi for afternoon flight to Naboisho Camp in the Maasai Mara Conservancy. Ol Seki Airstrip. SafariLink Airways.

3:00 pm

Depart SafariLink flight to Ol Seki Airstrip.

Located in Kenya adjacent to the Masai Mara National Reserve, this private conservancy is a ground-breaking project of tourism benefiting conservation and community. With its high concentration of wildlife, and generous personal space and freedom on offer, visitors enjoy exceptional wildlife encounters. The Mara Naboisho Conservancy in Kenya is home to the big cats – in impressive numbers – and herds of elephant, giraffe, and wildebeest. The 50,000-acre conservancy is made up of land contributions from 500 Maasai landowners. The conservancy fees provide the Maasai community with a sustainable livelihood and ensure the conservation of the wildlife in this vital corridor of the Masai Mara ecosystem.

4:30 pm

Arrive and check in to Naboisho Camp

5:00 pm – 6:00 pm

Welcome briefing over by Naboisho Camp staff

Allan Earnshaw, from Kenya Wildlife Trust, will provide an overview of the park, its habitats, and its biodiversity. ICCF staff will also go over the trip itinerary with participants and answer any questions.

6:30 pm – 8:30 pm

Dinner Briefing at the camp with Daniel Sopia, CEO of the Maasai Mara Wildlife Conservancies Association (MMWCA) on the importance of community conservancies in the region

Representatives of the Kenya Wildlife Conservancies Association and the Maasai Mara Wildlife Conservancies Association will brief the delegation. The Maasai Mara is Kenya's most important wildlife and tourism area. Roughly 25% of Kenya's wildlife resides within the greater Maasai Mara region, a 4,500 km² wildlife landscape comprised of the 1,510 km²

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of the Kenya Wildlife Service. Allan's key conservation goal is the creation of an independent national association of wildlife Conservancies that will include community and private landowners, the appropriate government institutions, the private sector and relevant NGOs.

Accommodations: Naboisho Camp

Day 4, Sunday, August 18th:

Topics: Community Projects; Biodiversity in the Masai Mara

6:30 am- 9:30 am Early breakfast briefing in the field on the importance of biodiversity & habitat protection to poverty alleviation and sustainable economic development enroute to Maa Trust.

As the world's population grows to over 9 billion in the next 40 years, the demand for food, water, and energy will double, further testing nature's ability to provide for people. The loss of natural resources, such as forests, fresh water, fertile soils, and natural pollinators, and the resulting competition for these increasingly scarce resources, can lead to increased poverty and negative impacts on human health and wellbeing. Natural resources are also essential to sustainable economic development.

9:30-10:30 am Arrive at Maa Trust for presentation by Resia Sasine, Assistant Director of the Maa Trust.

The Maa Trust is a non-profit organization based in community-owned conservancies in the Maasai Mara, Kenya. They work to increase the benefits of wildlife and conservation to Maasai families so that they appreciate, and contribute to, the protection of wild animals on their land, and they aim to unite communities by involving them in conservation at a management level.

10:30 am Depart to airstrip for charter flight to JKIA in Nairobi.

11:45 am Arrival in JKIA in Nairobi.

1:45 pm Depart JKIA on flight to Entebbe airport in Uganda. Arrival 3:00 pm

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